



PATENT

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C. Healion
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Applicant : Henry S. Ptasinski, et al.
Application No. : 09/826,218
Filed : April 4, 2001
Title : METHOD FOR PROVIDING DYNAMIC
ADJUSTMENT OF FRAME ENCODING
PARAMETERS IN A FRAME-BASED
COMMUNICATIONS NETWORK
Grp./Div. : 2661
Examiner : K. Vanderpuye
Docket No. : 42141/RJP/E264

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INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
April 26, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. Also enclosed is a copy of the International Search Report fo PCT/US01/10882. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered

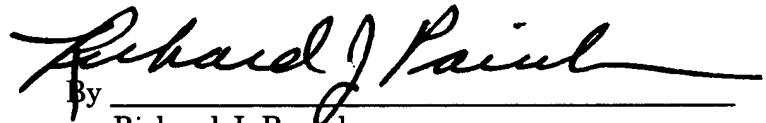
Application No. 09/826,218

in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

To the best of the undersigned's knowledge this filing is made prior to the mailing date of a first Office action on the merits. If an Office action has been issued, the Commissioner is hereby authorized to charge the processing fee of \$180.00, as required by 37 CFR § 1.17(p), to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP



By _____

Richard J. Paculan

Reg. No. 28,248

626/795-9900

RJP/sd

Enclosures: PTO/SB/08A/B, w/references

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MAY 14 2002
PTO-1449A/B
PATENT & TRADEMARK OFFICE
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FORM PTO/SB/08A.B (10-01)
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

| | | |
|--|--------------------|----------------------------|
| Attorney Docket Number 42141/RJP/E264 | Application Number | 09/826,218 |
| | Filing Date | April 4, 2001 |
| | Applicant(s) | Henry S. Ptasinski, et al. |
| | Group Art Unit | 2661 |
| | Examiner Name | K. Vanderpuye |
| | | |

U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | Cite No. ¹ | DOCUMENT NUMBER Number - kind code ² . (If known) | PUBLICATION DATE MM-DD-YYYY | NAME OF PATENTEE |
|-------------------|-----------------------|--|--------------------------------|------------------|
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIALS | Cite No. ¹ | Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁶ (<input checked="" type="checkbox"/>) |
|-------------------|-----------------------|---|-----------------------------------|--|---|
| | | EP 0 938 207 A2 | 08-25-1999 | Lucent Technologies, Inc. | |
| | | WO 99/20016 | 04-22-1999 | Winnet MCS, Inc. | |
| | | | | | |

OTHER DOCUMENTS

| | | |
|-------------------|-----------------------|--|
| EXAMINER INITIALS | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
| | | J. CARLSON, et al., "PPP over Simple Data Link (SDL) using SONET/SDH with ATM-like framing, <i>Internet Draft</i> , November 1998, Pages 1-21. |
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|--------------------|--|--------------------|--|
| EXAMINER SIGNATURE | | DATE CONSIDERED | |
|--------------------|--|--------------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST..3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

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